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7 WAREHOUSING

1. Norms for Warehouse Service Provider (WSP) Empanelment[1]

The uniform norms to be followed for Empanelment of Warehouse Service Providers (WSPs)[2] for Agri and Agri-processed commodities traded at Exchange platform are detailed hereunder

The prescribed norms for New WSPs are applicable to all WSPs. WSPs are requested to take note of the above and ensure compliance.

A. Eligibility Norms for Promoters of WSP

a) Promoters/ Promoter Groups should be responsible persons/entities of repute with a good business reputation and credibility, and who are in the business of public warehousing for at least 3 years and have knowledge of, and experience in, generally accepted warehousing and handling practices for Commodities, and are competent and willing to operate such a warehouse in accordance with the relevant regulations of the Exchange and others, as applicable.

B. Financial Norms for the WSP

1 Circular Reference No. MCX/IPF/303/2016 dated September 28, 2016
2 Circular No. - MCX/C&S/413/2014 dated December 30, 2014.

a) Net worth: The minimum net worth for the WSP shall be Rs.25 crores. However for warehouses providing services[3] for single commodity at a particular location, the minimum net worth requirement may be relaxed to Rs.10 crores.

b) Financial Security Deposits to be furnished by WSP and the acceptable forms for such Security Deposits:
The WSP shall furnish security deposit as under:-
   i. 3% for the value less than or equal to Rs.250 crores
   ii. 4% for the value above Rs.250 crores and equal to Rs. 500 crores and
   iii. 5% for the value above Rs.500 crores, of the goods stored in the warehouses.

c) The security deposit shall be in cash or cash equivalent like Bank FDRs, Bank Guarantees, Government Securities etc. as may be prescribed by the Exchange.

d) A daily monitoring of the security deposits vis-à-vis the value of the commodity stored needs to be done so as to ensure that the minimum stipulated security deposits are always maintained with the Exchange. The Exchange may ask for additional security deposit over that stipulated in (ii) above, if considered necessary.

C. Corporate Governance norms for WSP

a) The WSP should be a Corporate Body.

b) The WSP Company should have a professional management team to oversee its functioning and operations.

c) The WSP should have good internal systems and controls which should meet the operating guidelines, if any, issued by the Exchange in this regard. The WSP should have clear delegation of powers to meet operational requirement.

d) The WSP shall submit a net worth certification to Exchange every six months.

e) The Financial Statements (Balance Sheet, Profit & Loss Statement) of the WSPs should be audited and submitted to the Exchange within six months of the end of Financial Year.

f) The WSP should have a Customer Grievance Cell to handle customer complaints and WSP shall take proactive steps to resolve customer related issues and maintain a record of complaints received / resolved.

g) The WSP should comply with Know Your Depositor Policy as prescribed by the Exchange from time to time.

h) Management of WSP (defined as 'key managerial personnel' including whole time directors of WSP and their 'relatives' as per Companies Act, 2013) or entities owned or controlled by management of WSP/Group

3 Warehouse Service Provider (WSP) means an agency approved and accredited by the Exchange for storage and preservation of commodities.

concerns or persons 'acting in concert' shall not be allowed to trade on the commodity Exchange in the commodity for which it is accredited by Exchange. However, there shall be no such restriction on trading in forward segment of Exchange in delivery-based forward contracts.

D. Facilities & Infrastructure Requirement for WSP

a) WSPs to be eligible for accreditation should have reasonable facility and infrastructure for proper handling and storage of commodity like:-

b) Warehouses[4] are physically and operationally suitable for the proper storage of Commodities and that specifically:

I. Are of sound construction and in a state of good repair;

II. Have adequate equipment, installed and maintained in good working order, as may be prescribed by the Exchange, for the movement of commodities into, out of and within the warehouse;

III. Have adequate ventilation, installed and maintained in good working order, as may be prescribed by the Exchange, for the proper storage and preservation of grain quality;

IV. Have adequate lighting arrangement as may be prescribed by the Exchange;

V. Are free from materials and substances that may adversely affect the quality of stored commodities;

VI. Have a safe work environment; and

VII. Ensure adequate security as may be prescribed by the Exchange and protection of stored or handled commodities from tampering or adulteration.

c) The WSP should undertake to have assaying/testing facilities for the commodities it intends to render warehousing facility, or should undertake to be associated with an assaying/testing agency which may preferably be certified by one or more national/international agencies like NABL (National Accreditation Board for calibration and testing Laboratories), BIS etc. The Exchange shall conduct independent pre-empanelment due diligence of Assayers[5] .

d) WSP will provide for accurate and efficient weighing, sampling, inspection and grading of the Commodities in store, and the Exchange shall ensure that the WSP should have personnel who have knowledge and

[4] Certified warehouse means Exchange Certified/Approved/ accredited / designated Warehouse and which includes any place of storage, godown, warehouse, tank, cold storage, silo, store house, vault or any type of storage facility whether temporary or permanent approved by the Exchange or any agency authorised by it and designated as such for storage or for making deliveries to and taking delivery of commodities for fulfilling contractual obligations resulting from transactions in commodity contracts

5 "Exchange Certified/Approved/empaneled/ accredited / designated/ appointed assayer" means agency approved as such by the relevant authority for quality testing and certification of the commodities as per relevant contract specification and circulars issued from time to time.

experience in sampling, weighing, inspecting and/or grading of Commodities

e) The WSP shall have own or access to fumigation facilities/agencies for pest control activities.

E. Insurance

a) The WSP shall at all times ensure to fully cover the value of goods stored at Exchange approved warehouses under insurance for all perils relevant to the commodities for which insurance cover is available and necessary. The WSP shall undertake to take insurance cover for risks such as viz. Fire and allied perils including flood, cyclone, earthquake and spontaneous combustion, Burglary and Theft. The WSP shall take Fidelity guarantee & crime insurance and Professional indemnity cover to cover all deliverable stocks on the Exchange. The value of goods to be insured should be marked to market on replacement value on ongoing basis.

F. Inspection/Audit

a) The WSP should ensure that there is periodic inspection/audit of the stocks stored in the warehouses and the inspection/audit report is submitted to the Exchange within a week of the completion of such inspection/audit.

b) The WSP would allow the members /clients holding electronic[6] credit balances to do physical inspection of their goods. However, the request for such physical inspection would have to be submitted to the Exchange and the Exchange after verification of such request shall forward the same to the concerned WSP for allowing such inspection.

G. MIS System

a) WSP should have a Standard Operating Procedure (SOP) which is process-dependent and not person-dependent. It is desirable that there should be electronic record of information at the WSP and a MIS system with an arrangement for flow of real time information from the warehouse location to the central MIS and onwards to Exchange. The MIS should have the capability to capture and disseminate information regarding stock being held warehouse wise/location wise and the availability of space in the warehouses.

H. The accreditation process for WSP and the required formalities relating to empanelment of WSP shall be detailed on the Exchange website as per **Annexure 1.**

I. Warehouse Service Provider (WSP) can be accredited with more than one Exchange subject to the condition that the same warehouse will not be shared by more than one Exchange[7].

6 "Electronic System" shall means an electronic system which provides facilities for record keeping of holding of Commodities, deposited at/stored in/delivered by the Exchange Accredited Warehouses and record of transfers and such transactions and related details thereof

7 Circular no.: MCX/WHL/204/2015 dated July 22, 2015

J. The WSP shall strictly follow the business rules / bye-laws / circular(s) issued by MCX, from time to time. Any violations of business rules / bye-laws / circular(s) are subject to penalties.

2. Procedure for dealing with Mentha Oil at Exchange Accredited Warehouses[8]

Members/ Participants[9], who desire to deposit Mentha Oil drums at the Exchange Accredited Warehouses (EAW), for trading/ delivery on Exchange platform are advised to adhere to the below mentioned procedure/ conditions/ norms/ charges. Any changes in the procedure/ conditions/ norms/ charges shall be notified by the Exchange from time to time.

A. Procedure for Deposit at the warehouse

a) Minimum 4 working days prior intimation in writing to the warehouse is required for enabling the warehouse representatives to undertake empty drum weighment process and warehousing arrangements such as storage and sampling arrangements. Loading and Unloading of drums will be undertaken on first cum first serve basis. Similar time schedule and process shall be followed at the time of lifting the goods from the warehouse.

b) Based on the intimation received from the depositor[10], warehouse representative shall visit the depositor's storage site and empty drums shall be weighed on the calibrated weighbridge/ weigh scale and checked by warehouse representative at their site. The representative/ checker shall fill/ mark the weight of the empty drum on a non-tearable and non-removable white sticker with his signatures and date by a permanent marker and will paste the white sticker on the drum. Post the activity of weight checking of empty drums, delivery acceptance schedule will be intimated by the warehouse representative. Based on the delivery acceptance schedule, the depositor shall fill the material in these drums and bring the same at the warehouse. Only Natural Crude Mentha Oil (Arvensis) shall be deposited for Exchange delivery.

c) Mentha oil can be deposited only by the firm/ company registered in the respective local Mandi. Mandi NOC (gate pass) needs to be presented at warehouse by depositor at the time of deposit, without which the material shall not be accepted at warehouse.

d) At time of inward, the drums shall be checked for the white stickers and signatures of the warehouse representative. Drums found without sticker or signature shall not be accepted for deposit. In case a depositor directly (without going through the process of empty drum weighment) brings Mentha Oil in drums which were not checked or weighed by the warehouse representative, then the material shall be first emptied in stand-by alternate drums kept at the warehouse. The drums so received shall undergo a cleaning process and post cleaning of the drums, shall go

8 Circular no.: MCX/WHL/201/2016 dated July 15, 2016

9 "Participant" shall mean the trading/clearing members registered with Exchange and/or the constituents/clients of such trading/clearing member(s).

10 "Depositor" shall mean any person/entity who deposits Commodities into the Exchange Accredited Warehouse for the purpose of delivery on the Exchange platform

through the above specified empty drum weight checking process on the designated weighbridge/ weigh scale. After completing the weight check process and other statutory formalities, the drums shall be accepted for inward.

e) Depositor shall submit duly filled in Know Your Depositor (KYD) form before depositing goods and shall also ensure appropriate due diligence and comply with all the statutory laws/ regulations and best practices for depositing Mentha Oil drums in the EAW and also while giving delivery on the Exchange platform.

B. Physical Inspection of Mentha Oil

a) Before unloading the goods, preliminary quality tests and visual inspection shall be done to ascertain overall quality of the commodity. The material so received should be uniform in colour, smell, packaging etc. to allow unloading. Sample will be checked with respect to colour, clarity, impurities or any other defect. It should be free from any admixture such as edible oil, petroleum, mineral oil, sediments, etc. and there shall not be any adulteration in the Mentha Oil.

b) Warehouse Service Provider(WSP), as deemed fit, may undertake additional preliminary quality tests either on its own or through assayer to ensure that material received for deposits is free from any adulteration and is in accordance to the contract specifications announced by the Exchange from time to time. Each drum has to individually pass the above tests before fresh samples are drawn from each of the drums. If the material does not pass the tests, it shall be rejected and the depositor shall be required to lift the material from the warehouse. Till such rejected material is lifted from the warehouse, the same shall be stored in a clearly identifiable area.

c) If the material passes the tests, sampling shall be carried out. Before sampling, if required, the content of the drum shall be stirred for homogenization or the drums shall be rolled over on the floor for proper mixing of the material stored in the drum.

C. Packaging and Labelling

a) Mentha oil for delivery would be accepted in brand new galvanized iron air-tight container with minimum drum weight of 21 kg containing 180 kg (net weight) of Mentha oil of specified quality.

b) The drum weight i.e. Minimum 21 kg or actual weight, whichever is higher, shall be deducted for arriving at the net weight for issue of warehouse receipts[11]. The cost of drum, as fixed by the Exchange, will be payable by the buyer.

D. Delivery Size

11 "Certified Warehouse Receipts or Warehouse receipts or storage receipts or vault receipts " means a document issued by a certified warehouse in the manner and form stipulated by the Exchange or relevant authority from time to time shall be deemed to be proof as to the availability of the deliverable commodities for apportionment as per the delivery orders released by the Clearing House of the Exchange and shall include warehouse receipts in electronic form as may be prescribed by Relevant Authority

a) The quantity of Mentha Oil received and/ or delivered at the accredited warehouse would be determined/ calculated by the weighbridge/ weigh scale at the premises of the accredited warehouse and the quantity so determined would be binding on all parties. The weight of the Mentha Oil in the drum shall be 180 kg net. Variation of +/- 1.8 Kg at the time of deposit is acceptable. i.e.

Delivery Unit	360 kg (Net) / 2 drums
Quantity Variation*(Tolerance Limit)	1% per drum

*Tolerance limit i.e. quantity variation shall be considered on quantity delivered in the respective contract month.

E. Validity Period

a) The delivery of Mentha Oil on Exchange platform may take place according to the validity period matrix as illustrated in the table hereunder:

Months of Deposit/ Date of entry by warehouse in system	Validity Period from the date of fresh deposit(No. of contract months)	Validity Period from the date of Revalidation (No. of contract months)	Final Expiry Date (From the month/date of deposit)
January 2016	12	6	31st July 2017
February 2016	12	6	31st August 2017
March 2016	12	6	30th September 2017
April 2016	12	6	31st October 2017
May 2016	12	6	30th November 2017
June 2016	12	6	31st December 2017
July 2016	12	6	31st January 2018
August 2016	12	6	28th February 2018
September 2016	12	6	31st March 2018
October 2016	12	6	30th April 2018
November 2016	12	6	31st May 2018
December 2016	12	6	30th June 2018

For any fresh deposits made during the month, the Validity date and Final Expiry date (FED) would be calculated counting the Month of deposit as the first contract month.

Contract Month = 1st of the month to 30/31st of the month.

E.g. May Contract Month = 1st May 2016 to 31st May 2016.
Minimum validity period of the quality certificate post expiry date of the contract in which the commodity has been tendered shall be 1 month.
Only 1 revalidation activity shall be permitted for Mentha Oil.

F. Standard Deduction

a) The Weight of Mentha Oil delivered would be determined/ calculated by the warehouse designated weighbridge/ weigh scale and the same shall be considered for issuance of warehouse receipts, net of packing material, handling and sample weight. Thereafter, Standard deduction shall be applicable on the weight recorded on the warehouse receipt.

b) The applicable standard deduction on the weight of the warehouse receipt shall be 50 grams per drum per contract month.

G. Sampling and Analysis process at the time of deposit

a) Labour arrangement shall be made by the warehouse for loading/ unloading & opening of the drums for which charges shall be payable directly to the warehouse (as per prevailing market rates), i.e. depositor will have to pay for unloading and buyer/ lifter will have to pay for loading.

b) The WSP shall get associated with an assayer based on which the sampling shall be undertaken by the WSP. On satisfactory assessment of Mentha Oil drums during inward, samples shall be derived from each drum. The said samples as drawn by the WSP will be tested by the assayer and assayer shall issue quality certificate which shall be binding on the depositor and WSP. Fragrance & Flavour Development Centre (FFDC) shall be the empaneled assayer/ designated quality testing and certification agency for Mentha Oil.

c) While the Exchange delivery unit is 2 drums (drum capacity is 180 KG), the sampling lot size is maximum of 12 drums. The depositor has a choice to bring the consignment in multiple of 2 drums. After unloading of drums at the warehouse, samples shall be drawn from all drums in equal quantities using a pipette/ glass pipe and a uniform composite sample of the lot shall be made. The testing charges shall be applicable as per the sampling lot size for which the quality certificate(s) are issued.

Sampling lot shall be created depending upon the consignment received from the depositor. The same is illustrated hereunder:
E.g.1: if a depositor deposits 2 drums then the sampling lot size shall be 2 drums and 1 quality certificate shall be issued.
E.g.2: if a depositor deposits 14 drums then the sampling lot size shall be 12 drums and 2 drums respectively and 2 quality certificates shall be issued.

Each sample drawn as mentioned above shall be sealed and signed by client and warehouse representative jointly. Total 5 samples shall be prepared as under

2 samples – 75 ml each (approx.)

- 1 for Assayer Qualitative analysis
- 1 shall be kept at warehouse

3 samples – 5 ml each (approx.)

- 2 shall be handed over to depositor
- 1 shall be kept at the warehouse

All the samples shall be sealed and tagged for identification. The warehouse and depositor representative shall also sign on the sample tag. Post the sampling exercise, weighment shall be carried out for each drum on a calibrated platform scale available at warehouse. The lot numbers shall be mentioned with permanent marker on drums. Thereafter, Commodity Inward Document (CID)/Commodity Acceptance Document (CAD) shall be prepared in duplicate and acknowledgement of the depositor shall be obtained. The CID/CAD shall clearly reflect the Gross weight, Empty Drums weight and the Net Weight.

d) Post completion of the exercise, the drums shall be kept together for lot identification. Thereafter, the sealed samples shall be carried/ couriered to the assayer for further testing and the inward details shall be forwarded to the WSP head office. The assayer shall undertake physio-chemical and instrumental analysis in accordance to the parameters and test method as prescribed in the Exchange contract specifications and submit its test report to the WSP. Representative samples of the material shall be used for testing and determination of menthol content on composite sample basis. The Gas Liquid Chromatography i.e. GLC analysis shall be done as per Packed Column Method under which the GC machine shall be operated on the following parameters:

Injector Temp: 240 degree centigrade
Detector Temp: 240 degree centigrade
Initial temp: 80 degree centigrade
Ramp rate: 4 degree centigrade/minute

e) The designated assayer shall test the samples and the test results shall be sent to WSP head office. On receipt of the test reports from assayer, the WSP shall prepare warehouse receipt on deliverable lot basis. The same shall thereafter be sent to depositor through courier or by hand delivery. The test report issued by the designated assayer on the samples drawn by the WSP shall be acceptable and binding on all parties. All charges pertaining to the sampling process shall be borne by the depositor.

Explanation: it is clarified that quality certification of the lot is based on the composite sample and the depositor shall be liable for ensuring that the entire quantity of Mentha Oil is of homogenous quality and free from adulteration as that of the composite sample. In this regard,

the WSP may at the time of deposit, at its discretion, to protect its own interest, obtain an undertaking to this effect from the depositor.

H. Physical inspection mechanism of Mentha Oil for stock in possession

a) Members/ Clients holding stocks in the warehouse are entitled to undertake physical inspection of said stocks. Request for such physical inspection would have to be submitted to the Exchange in **Annexure 2** and the Exchange after verification of such requests, shall forward the same to the concerned warehouse for allowing such inspection.

I. Resampling Method, Quality Analysis, Retesting & Certification

a) In case, the Member/ Participants who purchased Mentha Oil on the Exchange platform and seek to lift the Mentha Oil from the warehouse do not agree to the quality report as to the quality of the commodity, Member/ Participants shall request the Exchange within 7 working days from the date of commodity pay out, for resampling and retesting, without lifting the Mentha Oil from the warehouse. Such Mentha Oil should not have crossed the final expiry date (FED) as mentioned on the quality certificate. Exchange shall entertain complaint on quality or quantity of the commodity received only through settlement of trades through Exchange mechanism and only if the complaint is made within the prescribed timelines as specified above. During the process, in case the Mentha Oil is found to be in congealed state, the material shall be brought to the liquid state for which all incidental charges shall be borne by the Member/ Participant raising such request.

b) Member/ Participants shall select Assayer from the empaneled Assayers as specified by the Exchange from time to time. Member/ Participants in such case shall make a request for retesting to the Exchange which in-turn shall be forwarded to the concerned WSP. The Member / Participants shall indicate the preferable date and time of visit to the warehouse for retesting of the stock along with the prescribed form available on Exchange website. Once a request for retesting is carried out, then the same goods will necessarily have to be lifted and cannot be retendered in subsequent settlements.

The following documents shall be required to be submitted to the warehouse official(s) on the date of the warehouse visit.

I. Original Retesting Request Form (**Annexure 3**)
II. Original Warehouse receipt duly endorsed by the Member/ Participants
III. Original Authorization letter in favour of representative along with copy of ID proof.
IV. Original Delivery Order (Goods withdrawal request) duly signed and stamped by the Member/ Participants

c) The assayer in consultation with the Exchange and WSP shall complete the process of retesting and submit a quality retesting report within 7 working days. Such reports shall be shared with all the concerned parties within 2 working days from the date of receipt of the report. The process

of retesting includes drawing & submission of samples to the assayer from warehouse (no. of samples shall be same as taken at the time of deposit), retesting and submission of report. In order to ensure that tests are exactly comparable and that the results are consistent, the assayer shall determine the particular analytical test by applying the test methods as agreed or prescribed / communicated by Exchange from time to time. The retesting report is final and binding on the parties concerned, including the WSP.

d) If the said quality report results are not found in accordance to the quality specifications as prescribed by the Exchange from time to time (after considering the outbound tolerance limits, if applicable), the Member/ Participants, within 2 working days, shall submit his claim to the Exchange, in writing by giving details such as lot numbers, quantity and the parameters under which inconsistencies are observed. The basis of claim, if any, shall be the value arrived on the basis of spot price on the claim submission business day. The Exchange shall give its decision on the claim filed by the buyer/ stock holder which shall be binding on the parties including WSP. In case the Exchange determines that a delivery does not constitute a good delivery, the WSP shall be liable to resolve/ compensate the buyer/ stock holder for the quality difference or substitute with good delivery (quality and quantity) material within 15 working days from the date of the decision of the Exchange.

e) The parties submitting request for resampling, retesting and certification shall bear all professional fees of assayer, including incidental costs, weight shortage and expenses related to sampling, testing, certification, etc. In case, the retesting results indicate negative variance from the original certificate, then the aforesaid retesting cost shall be borne by the WSP.

f) Members/ Participants intending to seek private sampling and testing arrangements may request the WSP under their mutual private arrangement, terms and conditions. In such a case, the said goods shall be treated as outside the scope of Exchange platform. Such goods can neither be tendered for delivery on Exchange platform nor shall any further complaints and claims be entertained by the Exchange.

J. Storage after Validity Period

a) The depositor/ buyer can take out the Mentha Oil from the warehouse on or before Final Expiry Date (FED). The Exchange shall not be responsible in any manner whatsoever for those goods which have crossed the FED. Storage in EAW after FED is a private arrangement entirely between the holder concerned and the WSP and the same shall be at the sole risk of depositor/ holder of such goods. The Exchange will not entertain any complaint in respect of the quality or quantity of the goods stored beyond the date of FED. Till the time such material is lifted from the warehouse, the same shall be stored in a clearly identifiable area.

K. General Conditions

a) In addition to the above procedure, Exchange may prescribe from time to time, further additional procedures and requirements for determining disputed deliveries or defective deliveries, and measures, procedures and

system of resolving the dispute or defect in deliveries or of consequences of such deliveries or the resolution which shall be final and binding on all the parties concerned.

b) It is reiterated that all market participants who desire to give or take delivery of Mentha Oil are advised to become familiar with relevant circular/provisions/guidelines of the Exchange in force from time to time and refer the same before initiating delivery on Exchange platform and take informed decision accordingly.

3. Procedure for deposit of Cardamom at Exchange designated delivery center[12]

Members and their constituents, who desire to deposit Cardamom for delivery on Exchange are advised to adhere to the below mentioned procedure / conditions / norms / charges. Any changes in the procedure/ conditions/ norms/ charges shall be notified by the Exchange from time to time.

A. Procedure for deposit

a) The depositor shall intimate the Warehouse/Cold storage Manager by Letter/Fax/e-mail/Phone Call about the intention of depositing goods in the Warehouse at least two days in advance. Depositor shall adhere to the warehouse norms as required from time to time and deposit cardamom which is acceptable as per the contract specifications. The depositor shall confirm the availability of space at the respective warehouse before initiating any steps for effecting deliveries Delivery shall be accepted at the designated delivery center strictly between 9.30 am to 5.30 pm so as to carry out grading operation (QC) effectively.

b) While bringing Cardamom to delivery center, it should be protected from moisture and damp weather (Rain) since this may lead to mould, spoilage, self-heating and discoloration. The stock shall be packed in double jute bag with inner black polyethylene lining. The depositor has to bring current season crop and the stock shall go through appropriate grading process to ensure that the quality parameters are in accordance to Exchange provisions.

c) Weighment of each and every bag shall be carried out in the warehouse itself and the weight of each bag shall be 50 Kg net (excluding the weighment of empty bag) with no tolerance limit. Depositor should carry additional 250 gms cardamom for every quintal of deposits as quality analysis sample. Warehouse receipt indicating quality of the commodity will be issued on per delivery unit for the same grade and size. Quality certification charges as applicable from time to time shall be payable at the warehouse.

d) The depositor has to take out the stock which does not conform to the quality specification immediately unless the same is allowed to be stored as a professional stock with the applicability of all the terms and conditions of Warehouse Service Provider. Even though the invalid stock is allowed for professional storage for a certain period, the depositor has

12 Circular no.: MCX/WHL/223/2015 dated August 12, 2015

to lift the stock as and when asked by the warehouse manager. In such case, the warehouse manager shall give a written notice of 7 days to the depositor. In case the depositor fails to lift the stock within 7 days, then the warehouse manager will take appropriate action as per the terms and conditions of storage, as per the provisions of State Warehousing Act and of any other applicable laws. The Exchange shall not be liable or responsible for such stocks.

e) Warehouse Service Provider (WSP) shall collect one month storage charge in advance at the time of deposit. Please note that introducing depositor/seller shall be responsible for paying storage charge of the lot from the date of non-payment of storage charge till the date of commodity pay-out. All charges pertaining to the loading/unloading of stocks in the warehouse / cold storage shall be borne by the holder of the stock and shall be paid directly.

f) Depositor shall submit duly filled Know Your Depositor (KYD) form before depositing goods in the designated warehouse. The depositor shall also ensure appropriate due diligence and follow and adopt all the statutory regulations and best practices for depositing cardamom in Exchange designated warehouses / cold storages and also while giving delivery on Exchange platform.

B. Sampling Method

a) All the bags of the lot shall be selected for sampling. The bags shall be completely emptied and then the size of the capsule will be checked separately. If the size/colour of the capsule of all the bags is same then the content will be mixed thoroughly before taking increments. The increments shall be taken randomly covering maximum area of the mixed content.

b) The gross sample size for cardamom shall be 1.5 Kg out of which the representative sample size will be 250gms which will be kept as reference sample in the warehouse/Cold storage for future reference. Balance 1250gms shall be added back in the bags

c) The method of sampling, the system of drawing samples, quality analysis and testing/certification by assayer shall be prescribed by the Exchange from time to time. For goods deposited in the Exchange designated warehouse, results of testing/certification by the designated assayer shall be final and binding on all the parties concerned.

C. Test Method

a) Density (Mass/Litre): IS 1797:1985 (reaffirmed 2001)
This will be determined by using 1000ml measuring cylinder with lid of internal diameter 95 mm and internal height 142 mm.
The sample will be half filled in the cylinder, lightly shake the cylinder horizontally three times and fill again as much as possible to the brim. Tap it again for three times on a level and hard surface by changing the position each time and fill again as much as possible to a little over the brim. Remove the excess material, by moving a thin strip of straight metal

sheet of about 10 mm width and 150 mm length, level with the top of the cylinder, weigh the contents in a balance to the nearest gram and record the mass. The recorded mass will be the density (gm/l) of the lot.

b) Definition of Refraction (other than extraneous matter) As per AGMARK
Blacks: It includes capsules which are blackish to black in color
Shriveled capsules: capsules which are not fully developed.
Splits: Includes capsules which are open at corners for more than half the length.
Thrips *(Sciothrips cardamomi):* It includes capsules which are undersized, scabby, warty, malformed and lost their characteristic aroma.

D. Validity Period Matrix

a) The delivery of the Cardamom on Exchange platform may take place according to the validity period matrix as mentioned in the table given below

Contract Months of Deposit / Date of entry by warehouse/cold storage in system	Validity period at the time of fresh deposit (no. of contract months) for stock deposited in Dry warehouse & Cold Storage
January	3+0
February	3+0
March	3+0
April	3+0
May	3+0
June	2+0
July	1+0
August	3+0
September	3+0
October	3+0
November	3+0
December	3+0

b) For any fresh deposits made on or before the 15th of a month the Validity Date/Final Expiry date (FED) would be calculated counting the current calendar month as the first month. For any fresh deposits made after the 15th of any month the Validity Date/FED would be calculated counting the next calendar month as the first month.

Contract Month = 16^{th} of the month to 15^{th} of the next month.
E.g. February Contract Month = 16^{th} Jan 2015 to 15^{th} Feb 2015 for which validity Date/FED shall be 30^{th} April 2015.

c) No revalidation activity shall be undertaken in Cardamom.

E. Physical inspection mechanism of Cardamom for stock in possession[13]

13 Circular No.- MCX/C&S/413/2014 dated December 30, 2014

a) Members/ Clients holding stocks in the warehouse are entitled to undertake physical inspection of said stocks. Request for such physical inspection would have to be submitted to the Exchange in **Annexure 2** and the Exchange after verification of such requests, shall forward the same to the concerned warehouse for allowing such inspection.

F. Resampling Method, Quality Analysis, Retesting & Certification[14]

a) In case, the Member/ client who seek to lift the goods from the warehouse do not agree to the assayers report as to the quality of the commodity, Member/ client shall request the Exchange for resampling and retesting, without lifting the goods from the warehouse. Such goods should not have crossed the final expiry date (FED) as mentioned on the quality certificate.

   Exchange shall select assayer from the empanelled assayers as specified by the Exchange from time to time.

b) Member/ client in such case shall make a request for retesting to the Exchange (In the prescribed form) which in-turn shall be forwarded to the concerned warehouse. The Member / client shall indicate the preferable date and time of visit to the warehouse for retesting of the stock along with the prescribed form.

c) The following documents shall be required to be submitted to the warehouse official(s) on the date of the warehouse visit.
   I. Original Retesting Request Form (**Annexure 3**)
   II. Original Warehouse receipt duly endorsed by the Member/ client.
   III. Original Authorization letter in favor of representative along with copy of ID proof.
   IV. Original Delivery Order (Goods withdrawal request) duly signed and stamped by the Member/ client.

d) The assayer, in consultation with the Exchange and / or warehouse, shall complete the process of retesting and submit a retesting report within a reasonable period to the Exchange. The process of retesting includes drawing & collection of samples (as per sampling process) by the assayer from warehouse, retesting and submission of report.

e) In order to ensure that tests are exactly comparable and that the results are consistent, the assayer shall determine the particular analytical test by applying the test methods as agreed or prescribed / communicated by Exchange from time to time.

f) The designated assayers shall submit the report to the Exchange and the Exchange shall forward the same to the parties within 2 working days from the date of receipt of the report. The retesting report is final and binding on the parties.

g) If the said retesting report conforms to the quality specification (including outbound quality tolerance limits, if applicable), then the goods shall be accepted by the said Member/ client and no subsequent claims regarding

14 Circular No.- Circular No: MCX/T&S/112/2016 dated April 15, 2016

quantum of discount/ rebate or any other indemnification/ damages shall be admissible and the said Member/ client shall lift the said goods and Member/ client shall not be allowed to deliver the same goods on Exchange platform.

h) If the results are not found in accordance to the quality specifications as prescribed by the Exchange from time to time (after considering the outbound tolerance limits, if applicable), the Member/ client shall, within 2 working days, submit claim, to the Exchange, in writing by giving details such as lot numbers, quantity and the parameters under which inconsistencies are observed. The decision of the Exchange shall be final and binding on the parties concerned.

i) The Member / client, whoever request for the resampling, retesting and certification shall bear all professional Fees of surveyor / assayer, including incidental costs, weight shortage and expenses related to sampling, testing certification, etc.

G. Storage after Validity Period

a) The depositor/buyer can take out the goods from the warehouse on or before FED. After the FED, the stocks in the Exchange Approved warehouse/s shall necessarily be removed. The Exchange shall not be responsible in any manner whatsoever for those stocks which have crossed the FED.

4. Know Your Depositor (KYD) Policy[15]

A. Participants willing to deposit in and lift the stocks from the Exchange Accredited warehouses shall be required to comply with 'Know Your Depositor' (KYD) Policy as prescribed by the Exchange from time to time.

B. Accordingly, 'Know Your Depositor' (KYD) application forms for Individual (**Annexure 4**) and Non-Individual entities (**Annexure 5**) are annexed herewith. The same is also made available on Exchange website at Market Operations > Warehousing & Logistics > Forms & Formats.

C. All fresh deposits shall be accepted only after furnishing the information as prescribed in the annexed form. Participants who have not furnished the information and willing to lift the stocks from the warehouse(s) shall be required to furnish the information before lifting the stocks from the warehouse(s).

5. Depositor Transaction Declaration (DTD)[16]

A. Currently, participants willing to deposit in/ lift the goods from the Exchange accredited Warehouses of Warehouse Service Provider (WSP) are required to submit the duly filled in 'Know Your Depositor' (KYD) Application Form on a one time basis and 'Depositor Transaction Declaration'(DTD) Form (**Annexure 6**) for each deposit thereafter.

B. The same is also made available on Exchange website at Market Operations > Warehousing & Logistics > Forms & Formats.

15 Circular No.: MCX/WHL/212/2015 dated July 30, 2015

16 Circular No.: MCX/WHL/232/2016 dated August 10, 2016

6. Accidental losses at Accredited Warehouses/ Vault[17]

A. It is clarified that the Exchange accredited warehouses/ vaults, will make good of the loss/damage of the commodities deposited by the members (including their clients) with it, only out of the claim/s received from the insurance company in case of losses occasioned due to perils such as fire, flood, earthquake, burglary, spontaneous combustion etc. Hence, this may cause or result into delay and/or deficit in making good of the loss / damage of commodities to its full value, suffered by the Members and or their constituents.

B. Further, the respective warehouse/ vault may be required to shut down their operations temporarily and commodities stored over there may not be available for settlement on the Exchange during such period in case such eventuality takes place. Hence, attention of members and their constituents are drawn on the conditions for dealing with warehouses & stocks in case of accidental losses

C. Conditions for dealing with warehouses & stocks in case of accidental losses

a) In the event of such an incidence, the warehouse/ vault will inform the Exchange immediately along with details of such incidence.

b) The warehouse/ vault will inform the concerned member about their loss. The Exchange may, based on gravity of the situation and Assessment Report received from the warehouse/ vault, suspend the operations from that warehouse/ vault temporarily till an alternative arrangement is made and/ or the recovery of loss from the Insurance Company is completed.

c) If the partial goods meet quality as per contract specifications duly certified by the quality surveyor and conforms to the delivery specifications as prescribed, then the depositor/ owner of the stock will be advised to surrender the warehouse receipt/s and the quality certificate/s of the damaged stocks and get revised/ fresh warehouse receipt and quality certificate in accordance to contract specifications if the requirement arises. The warehouse receipts and quality certificates of the damaged stocks will stand cancelled.

d) The warehouse/ vault, upon due diligence, will either replenish the lost/ damaged stock or make a payment to the holders of the damaged/ lost commodities, only after receiving amount of insurance claim from the Insurance Company. However, the decision, whether to replenish the stock or to make the payment, of the warehouse/ vault shall be final and binding to all the Members and their constituents.

e) In case total/ majority of goods are destroyed, the warehouse/ vault shall complete the damage survey and other required formalities with their insurer as early as possible. The rate applicable for such settlement of goods destroyed shall be the immediate preceding closing rate on the day of loss or the rate considered by Insurance Company while settling the claim after considering the earlier noted premium/ discount grade of the damaged goods in case of making payment of the loss.

17 Circular No.: MCX/C&S/011/2012 dated January 11, 2012

f) On receipt of confirmation from the warehouse/ vault, the warehouse/ vault will be activated for delivery. Subsequent deliveries from the warehouse for settlement on the Exchange will be resumed.

g) If the incident takes place before delivery marking while the position remains open in the near month contract expiry, then such goods shall not be considered for delivery marking. If the incident takes place after delivery marking but before the scheduled settlement, such goods shall not be considered for delivery pay-in. Such delivery positions will not attract any default and penalty for non-performance subject to necessary approval thereto.

I. If the incident happens on the settlement date, Exchange will take a decision about acceptance/ rejection of delivery depending upon the time of information received from the warehouse.

II. In case the seller has made an early pay-in and the incident happens before the settlement in such case the seller's pay-in will not be considered even if he has made early pay-in. However, such seller will not attract any default and penalty for non-performance subject to necessary approval thereto.

III. If the incident takes place after the settlement then the buyer will be considered as the owner of the goods and all the above mentioned provisions shall be applicable.

D. Any loss suffered by the respective depositor of such goods, after settlement of the insurance claim, shall be borne by the depositor/s and binding on all the Members and their constituents.

7. Good Delivery in Gold, Gold Mini, Silver, Silver 1000, Gold Guinea and Gold Petal contracts[18]

A. As per the norms of Good Delivery of Gold, Gold Mini, Gold Guinea, Gold Petal, Silver & Silver 1000 contracts, the Exchange would approve serially numbered bars supplied by "MMTC-PAMP INDIA PVT. LTD." refinery along with supplier's quality certificate as Good Delivery for delivery of Gold (1Kg), Gold Mini (100 Gm), Gold Guinea Coins (8 Grams) , Silver & Silver 1000 (1 Kg)

B. Also, Exchange would approve serially numbered bars supplied by "Emirates Gold" refinery along with supplier's quality certificate as Good Delivery for delivery of Gold (1Kg), Gold Mini (100 Gm), Gold Guinea Coins (8 Grams) for Gold, Gold Mini & Gold Guinea contracts.

C. It is clarified that all Gold and Gold Mini bars to be deposited in Exchange designated vaults must be accompanied with individual original assay certificate for effecting delivery on Exchange platform.

8. List of Exchange Accredited Warehouses/Vaults

For storing and effecting delivery in Commodity, the details of the Warehouse/Vault address, contact person, charges etc. have been given in the Annexure.

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18 Circular no.: MCX/276/2006 dated July 13, 2006, Circular No.: MCX/C&S/196/2008 dated June 6, 2008, Circular No.: MCX/C&S/342/2012 dated October 1, 2012, Circular No.: MCX/C&S/337/2013 dated October 8, 2013

Members and their constituents are requested to deliver only that variety of commodity acceptable as per the contract specifications and confirm the availability of space at the respective warehouse/Vault before initiating any steps for effecting deliveries.

Also, Exchange has prescribed the Space Booking Form for the storage of the commodity in the warehouse and the format for Authorisation of lifting Delivery (from Vault)

A. List of Exchange Accredited Warehouses for Mentha Oil (**Annexure 7**)[19]
B. List of Exchange Accredited Warehouses for Cotton Bales (**Annexure 8**)20
C. List of Exchange Accredited Warehouses for Cardamom (**Annexure 9**)21
D. List of Exchange Accredited Vault for Bullion (**Annexure 10**)22
E. Space Booking Form for Warehouses (**Annexure 11**)
F. Format for Authorisation of lifting Delivery (from Vault) (**Annexure 12**)

19 Circular no.: MCX/C&S/178/2014 dated May 6, 2014, Circular no.: MCX/C&S/247/2014 dated July 14, 2014, Circular no.: MCX/C&S/258/2014 dated July 28, 2014, Circular no.: MCX/C&S/265/2014 dated August 4, 2014, Circular no.: MCX/WHL/182/2015 dated June 29, 2015, Circular no.: MCX/WHL/231/2015 dated August 21, 2015, Circular no.: MCX/WHL/216/2016 dated July 26, 2016.

20 Circular no.: MCX/WHL/282/2015 dated October14, 2015, Circular no.: MCX/WHL/291/2015 dated October 20, 2015, Circular no.: MCX/WHL/292/2015 dated October 20, 2015, Circular no.: MCX/WHL/294/2015 dated October 23, 2015, Circular no.: MCX/WHL/296/2015 dated October 26, 2015, Circular no.: MCX/WHL/330/2015 dated November 23, 2015, Circular no.: MCX/WHL/332/2015 dated November 24, 2015, Circular no.: MCX/WHL/333/2015 dated November 24, 2015, Circular no.: MCX/WHL/347/2015 dated December 7, 2015, Circular no.: MCX/WHL/349/2015 dated December 11, 2015, Circular no.: MCX/WHL/356/2015 dated December 16, 2015, Circular no.: MCX/WHL/117/2016 dated April 20, 2016, Circular no.: MCX/WHL/139/2016 dated May 09, 2016, Circular no.: MCX/WHL/140/2016 dated May 09, 2016.

21 Circular no.: MCX/WHL/293/2015 dated October 20, 2015

22 Circular No.: MCX/C&S/093/2014 dated March 20, 2014, Circular No.: MCX/C&S/190/2014 dated May 16, 2014 & Circular no.: MCX/C&S/156/2013 dated April 23, 2013

ANNEXURES

Annexure 1

INVITING APPLICATIONS FOR EMPANELMENT AS WAREHOUSE SERVICE PROVIDERS (WSPs)

Multi Commodity Exchange of India Ltd. hereby invites applications from prospective WSPs with pan India presence having capability of proper handling and storage of commodities, thereby assuring quality and quantity guarantee of stocks stored in the warehouses. The empanelled WSPs should be able to provide warehouses as and when required by the Exchange for undertaking storage of various commodities traded and delivered on the Exchange platform.

Exchange shall adopt following process for empanelment of WSPs:

1. The applicant will have to apply for WSP empanelment in the prescribed application Form-I annexed herewith along with the application fee as prescribed from time to time.

2. Wherever required, the applicant will be required to "self-certify" the submitted documents along with an undertaking indicating compliance/ non-compliance of the norms.
   The self-certified copies of the documents along with application form shall be delivered to Exchange on following address:
   The Asst. Vice President – Market Operations
   Warehousing & Logistics Division
   Multi Commodity Exchange of India Ltd
   "Exchange Square", Suren Road,
   Chakala, Andheri (E), Mumbai – 400093
   E-Mail: mcxwhl@mcxindia.com
   Board Line: 022-66494000

3. Approval to act as WSP shall be granted to entities with credible track record and who undertake to comply with the best warehousing standards and practices. Submission of application and compliance to the applicable norms and eligibility criteria shall not be deemed as approval to the WSP unless explicitly conveyed by the Exchange. Additionally, Exchange also reserves the right to modify/ amend/ upgrade some of the requirements from time to time.

4. On receipt of the documents, Exchange shall review such submission and wherever deviations/ shortfalls are observed, shall call upon the WSP for submission of additional information/ declaration until compliance to the empanelment norms.

5. Thereafter, the same shall be referred to internal committee who shall make further recommendations to the Risk Management Committee of the Board of Directors of the Exchange. The Committee will reserve the right to call for more information as well as have discussions with any applicant/s and seek clarification on any issue as may be required by it. The Committee may also seek additional undertaking from the WSP. The Exchange's decision in this regard will be final.

6. Selected applicant will be issued in-principle approval subject to conditions specified therein and shall be advised to proceed with the signing of Master Service Agreement as prescribed by the Exchange. WSP shall be required to submit the minimum upfront security deposit of Rs. 10 lakh, payable at Mumbai by Cheque / Demand Draft / Bank transfer/ Fixed Deposit Receipt lien market in favour of the Exchange or Bank Guarantee favouring "Multi Commodity Exchange of India Ltd." before accreditation of warehouse, which shall be considered for compliance to the financial norms as outlined by the Exchange.

7. The compliance of terms and conditions laid down by Exchange is an essential condition of grant of approval.

8. Approval of warehouse for accepting deposit will be granted only after the signing of Master Service Agreement and issue of warehouse accreditation circular. The Exchange reserves the right to inspect and approve / disapprove the warehouse applied for accreditation.

9. WSP will be bound by all the directives issued by the Regulator and the Exchange from time to time.

   Interested parties who are fulfilling the norms as specified in the aforesaid circular may apply in the prescribed application form annexed herewith, along with supporting documents.

Form- I

Application for Empanelment as "Warehouse Service Provider (WSP)"

Date:

To,
The Asst. Vice President – Market Operations
Multi Commodity Exchange of India Ltd
"Exchange Square", Suren Road,
Chakala, Andheri (E), Mumbai – 400093.

Subject: Application for empanelment as "Warehouse Service Provider (WSP)" with MCX (the Exchange)

Dear Sir,

We M/s._____ (Company Name) having registered office at - _____ (Complete address with Pin code) hereby submit our application for empanelment "Approved Warehouse Service Provider (WSP)" with MCX. Based on the particulars mentioned in the norms for empanelling as a Warehouse Service Provider, we are submitting herewith all the relevant documents in line with the requirement of the specified norms.

Requesting you to consider our request for empanelment as "Approved Warehouse Service Provider" of MCX.

Place: _____ Yours Sincerely,

Date: _____

Authorised Signatory
(Name & Designation)

Annexure 2

Process for Physical Inspection of Agri & Agri processed commodities in the Exchange Accredited Warehouses by Member / Participant (Stock Holder)

Member / Participant holding goods in the Exchange accredited warehouse(s) are entitled to undertake physical inspection of their goods. The process to be followed for requesting physical inspection is outlined hereunder:

1. Member /Participant intending for such physical inspection shall make a request to the Exchange in the prescribed Form-II annexed herewith.

2. The duly completed form (scan copy) shall be mailed to mcxwhl@mcxindia.com and after verification of such request; the Exchange shall forward the same to the concerned WSP (Warehouse Service Provider) for allowing such inspection.

3. WSP will authenticate the details and finalize the date and time schedule (within 2 working days) with the Member / Participant and intimate the same in writing to all the concerned and the Exchange.

4. On the scheduled date, prior to physical inspection, the Member / Participant or his authorised representative visiting the warehouse shall carry original identity proof for verification. A copy of such identity proof and original inspection request letter i.e. Form - II shall be submitted at the warehouse.

5. During physical inspection, the Member/Participant or his authorised representative is not permitted to take samples, photographs/videos or carry any such hazardous material which may cause damage to the goods inside the warehouse / its premises.

6. Before and after physical inspection, the warehouse official shall enter the necessary details in the physical inspection book which shall be duly signed by the warehouse official and the Member/Participant or his representative.

7. On completion of physical inspection, the WSP shall intimate the same, in writing, to the Exchange.

Form II

Request for Physical Inspection of Goods

Date: _____

To,
Multi Commodity Exchange of India Limited
Warehousing & Logistics Department
Exchange Square, Suren Road, Chakala,
Andheri (East), Mumbai - 400 093.

Subject: Request for Physical Inspection of our Goods

Dear Sir/Madam,

I / We request for physical inspection of our goods at the Exchange accredited Warehouse as per details specified hereunder:

Name of Commodity	
Warehouse Name and Address at which physical inspection of our goods.	
Details of Warehouse receipts and Lot Number for which Physical verification is requested (mention lot numbers in separate annexure, if required)	
Total Quantity (In MT/ Bales/Quintals/ Kgs)	

1. I/We _____ (the present Holder) would be present in the Warehouse for physical inspection of my/our lots and my/our identity proof document is_____ with identity no. _____.

OR

1. I/We _____ (the present Holder) have authorized _____ to remain present in the warehouse on my/our behalf for physical inspection and his identity proof document is _____ with identity no. _____.

2. I/We understand that in case original identity proof document is not produced to the Warehouse official, I/We shall be denied permission for physical inspection of the goods.

3. I/We agree and understand that I/we are not permitted to take samples, photographs/videos or carry any such hazardous material which may cause damage to the goods in the warehouse premises.

4. I/We undertake to abide to all the terms and conditions prescribed by Exchange from time to time.

5. I/We agree that all incidental expenses incurred for arranging physical inspection would be on my/our account.

Thanking you,
Yours truly,

Signature of Member/Client: _____
Date: _____

List of documents accepted as Proof of Identity

1. PAN card with Clear Photograph
2. UID / Aadhar Card
3. Passport / Driving License / Voter ID card
4. Identity card/ document with applicant's Photo, issued by any of the following:
   Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members and Credit cards/Debit cards issued by Banks.

Annexure 3

Retesting Request Form

Date: _____

To,
Warehousing & Logistics Department.
Multi Commodity Exchange of India Ltd.
Mumbai.

Sub: Request for retesting of Stocks of_____ (Name of Commodity) stored at _____ (Name & Address of Warehouse/Cold Storage)

Dear Sir/Madam,

We request for retesting of our stock as per the details (Warehouse Receipt No. & Lot No.) Provided in the excel sheet attached herewith this letter and submit the following-

1. I/We _____ (Name of Holder) would be present on _____(Date and Time of Visit) at the Warehouse. My/our identity document is _____with identity no._____.

OR

1. I/We have authorized_____(Name of Representative) to remain present on _____ (Date and Time of Visit) at the warehouse. His identity document is_____ with identity no. _____.

2. I/We understand that in case we do not produce our original identity document to the Warehouse official at the time of submission of original request to the warehouse, I/We shall be denied entry to the warehouse.

3. I/We understand that we are not allowed to take any samples of our lots stored in the warehouse.

4. I/We agree that all incidental costs, weight shortage and expenses related to this exercise shall be incurred by me/us and would be on our account. The result declared by the Exchange appointed surveyor / assayer is acceptable to me/us and I/we would not claim any amount from MCX or Warehouse Service Provider at any point in time for quality certificate conforming to Exchange specifications.

5. I/We undertake to abide to all the procedures, terms and conditions prescribed by MCX from time to time.

Thanking You,
Yours faithfully,

For _____
(Signature & Stamp of Member)

Annexure 4

Warehouse Service Provider Allotted Code (WAC): _____ (To be maintained by WSP)

KNOW YOUR DEPOSITOR (KYD) APPLICATION FORM
[For Individuals]

PHOTOGRAPH Please affix your recent passport size photograph & sign across it

Please fill this form in ENGLISH & in BLOCK LETTERS.

A. IDENTITY DETAILS

1. Name of the Depositor: _____

2. a. Nationality: _____
b. Status: Resident Individual / Non Resident / Foreign National. (If Non Resident / Foreign National, self-certified copy of statutory approval obtained must be attached)

3. a. PAN: _____
b. Unique Identification Number (UID)/ Aadhar, if available: _____

B. ADDRESS DETAILS

1. Address for correspondence: _____

City/ district /village: _____ Pin Code: _____ State: _____
Country: _____

2. Address for Record (on Stock receipt):
_____

City/ district /village: _____ Pin Code: _____ State: _____
Country: _____

3. Contact Details:
Mobile No.: _____ Tel. (Res.) _____ Fax: _____
Email id: _____.

C. OTHER DETAILS
1. Occupation & Income Details:

Agri. & Allied activity / Salaried (Private Sector/ Public Sector/ Government Service) / Pensioner / Self-employed / Business or Trade / Student / Housewife / Other Source (Please specify)

Annual Income _____

D. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/ Current/Others	MICR Number	IFSC code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

E. SALES TAX /VAT/CST/OTHER REGISTRATION DETAILS [As applicable, State wise] (Copy of Registration to be submitted)

- Local Sales Tax State Registration No.: _____
- Validity Date: _____
- Name of the State:_____
- Central Sales Tax Registration No: _____
- Validity Date: _____
- Other Sales Tax State Registration No.: _____
- Validity Date: _____
- Name of the State: _____

F. Mandi license / APMC license (As applicable, State wise)
(Copy of license to be submitted)

Mandi license / APMC license No.: _____

Date of issue of license: _____

License valid up to: _____

In case of multiple Sales Tax/ VAT/ other registrations, separate annexure (self-certified) shall be submitted.

G. INTRODUCER DETAILS

Name of the Introducer: -

_____

(Surname) (Name) (Middle Name)

Status of the Introducer: Authorized Person/Existing Client/Others,

Please specify, Address & Contact no. of the Introducer: _____

Client Code (WAC) of the Introducer: _____

Signature of the Introducer: _____

H. DOCUMENTS ATTACHED

Self-attested copy of Mandi license / APMC license submitted. Yes ☐ No ☐

Farmer – land records such as 7/12 extract or other state specific land related documents establishing identity as farmer shall be submitted. Yes ☐ ☐

LIST OF DOCUMENTS FOR IDENTIFICATION:

[Attach self-attested copies of any two to indicate identity, signature verification & address]

Please produce original for verification

Passport / Driving Licence / Voter ID card / Armed Forces Id Card / Aadhar Card No. / PAN / Id card of any accredited institution like Government authority.

Utility Bill / Credit Card or Bank Account Statement (Within last 30 days) / Ration Card / Marriage Certificate / Trade Licence / Certificate of Birth / Regd. Lease deed.

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the details as filled in this document.

3. I/We understand that the goods whenever deposited require to meet all statutory requirements besides the Exchange quality specifications. I/we confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force.

4. I/We confirm to abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in force from time to time and shall indemnify the warehouse and the Exchange against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.

5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, the Undersigned shall be solely

and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.

6. I/We further declare and agree that in no event shall MCX or the said Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our non-compliance of any central/state laws as applicable to the said goods.

7. As a depositor, I/we hereby confirm that commodities / goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and/or consents, approvals that are required in connection with storage of these materials / commodities shall be obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non- compliance of applicable laws and regulations governing storage services rendered by warehouse, I/we shall indemnify warehouse for such losses / damages / penalties etc.

8. I/We shall ensure that the quality of commodities shall comply with the regulations laid down by the other authorities like Food Safety Standards Authority of India, AGMARK & BIS etc.

9. I/We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.

Place_____ (_____)

Date _____ Signature of Depositor

FOR OFFICE USE ONLY

WSP Allotted Code (WAC): _____
Name of the Depositor: _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / Warehouse Official	
Employee Code	
Designation of the employee	

_____
Signature of the Authorized Signatory

Place: _____
Date _____ Seal/Stamp of the WSP

ACKNOWLEDGEMENT

WSP Allotted Code (WAC): _____
Name of the Depositor: _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / Warehouse Official	
Employee Code	

_____
Signature of the Authorized Signatory

Place: _____
Date _____ Seal/Stamp of the WSP

Annexure 5

Warehouse Service Provider Allotted Code (WAC): _____ (To be maintained by WSP)

KNOW YOUR DEPOSITOR (KYD) APPLICATION FORM

[For Non-Individuals]

Please fill this form in ENGLISH & in BLOCK LETTERS.

A. IDENTITY DETAILS

1. Name of the Firm / Company/ Depositor: _____
2. a. PAN: _____ b. Registration No. (E.g. CIN): _____
3. Status (please tick any one):
   Private Limited Co. / Public Ltd. Co. / Body Corporate / Partnership / Trust / HUF / LLP. / Others (please specify) _____
   (In case of foreign entity or entity with foreign shareholders, self-certified copy of statutory approval obtained must be attached)

B. ADDRESS DETAILS

1. Address for correspondence: _____

City/ district /village: _____ Pin Code: _____ State: _____
Country: _____

2. Address for Record (on Stock receipt):_____

City/ district /village: _____ Pin Code: _____ State: _____
Country: _____

3. Contact Details:
Mobile No.: _____ Tel. (Off.) _____ Fax: _____
Email id: _____.

4. Registered Address (if different from above):
City/ district /village: _____ Pin Code: _____ State: _____
Country: _____

Mobile No.: _____ Tel. (Off.) _____ Fax: _____

Email id: _____

C. OTHER DETAILS

Net-worth as on (date) _____ (_____)

D. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/Others	MICR Number	IFSC code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

E. SALES TAX/VAT/CST/OTHER REGISTRATION DETAILS (As applicable, State-wise)
(Copy of Registration to be submitted)

- Local Sales Tax State Registration: _____
- Validity Date:_____
- Name of the State: _____
- Central Sales Tax Registration No : _____
- Validity Date :_____
- Other Sales Tax State Registration No.: _____
- Validity Date: _____
- Name of the State:_____

F. Mandi license / APMC license (As applicable, State wise)
(Copy of license to be submitted)

Mandi license / APMC license No.:_____

Date of issue of license: _____

License valid up to: _____

In case of multiple Sales Tax/VAT/ other registrations, separate annexure (self-certified) shall be submitted.

G. INTRODUCER DETAILS

Name of the Introducer:

_____

(Surname) (Name) (Middle Name)

Status of the Introducer: Authorized Person/Existing Client/Others,
Please specify, Address & Contact no. of the Introducer: _____

Client Code (WAC) of the Introducer_____

Signature of the Introducer_____

- List of Authorized Signatories along with specimen signature to be submitted.

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the details as filled in this document.

3. I/We understand that the goods whenever deposited require to meet all statutory requirements besides the Exchange quality specifications. I/We confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force.

4. I/We confirm to abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in force from time to time and shall indemnify the warehouse and the Exchange against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.

5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, the Undersigned shall be solely and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.

6. I/We further declare and agree that in no event shall MCX or the said Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our non-compliance of any central/state laws as applicable to the said goods.

7. As a depositor, I/we hereby confirm that commodities / goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and/or consents, approvals that are required in connection with storage of these materials / commodities shall be obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non- compliance of applicable laws and regulations governing storage services rendered by warehouse, I/We shall indemnify warehouse for such losses / damages / penalties etc.

8. I/We shall ensure that the quality of commodities shall comply with the regulations laid down by the other authorities like Food Safety Standards Authority of India, AGMARK & BIS etc.

9. I/We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.

Place_____ (_____)
Date _____ Signature of Authorized Signatory

FOR OFFICE USE ONLY

WSP Allotted Code (WAC): _____
Name of the Depositor: _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / Warehouse Official	
Employee Code	
Designation of the employee	

_____
Signature of the Authorized Signatory

Place: _____
Date: _____ Seal/Stamp of the WSP

ACKNOWLEDGEMENT

WSP Allotted Code (WAC): _____
Name of the Depositor: _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / Warehouse Official	
Employee Code	

_____
Signature of the Authorized Signatory

Place: _____
Date _____ Seal/Stamp of the WSP

Annexure 6

Depositor Transaction Declaration (DTD)
(To be filled by Depositor on letter head)

1 Name of the Depositor: _____

2 Address & Phone no: _____

3 Deposited on behalf of:

3.1 Client ☐ Member ☐ Self ☐
Unique Client Code (UCC) with MCX: _____
(MANDATORY)

Note: In case of deposition made for client/ member, kindly submit the authorization letter with attested signature as per Form-III annexed herewith ()

3.2 Name of the Client/Member/Self: _____

3.3 VAT/TIN No._____

4. Warehouse Name & Address: _____

_____

5. COMMODITY DETAILS:

Name of the Commodity	Quantity	Units (No. of Bags/ Drums/Qtl/ MT/Bales etc.)	Grade/ Standard/ Variety	Date of Deposit	Kachha Receipt / Commodity Acceptance Document (CAD/CID) No.	Remarks

*(*In case of any variation in quantity mentioned in CAD/CID and DTD, the quantity mentioned in CAD/CID shall be treated as final)*

6. DOCUMENTS ATTACHED
(Kindly submit all of the following documents as applicable to the Depositor)

Copy of appointment letter/letter of authority from client/member for depositing of goods for client/member	Yes ☐	No ☐
Self-attested copy of the Challan cum return of payment of Sales Tax / VAT for the month preceding the month of the deposit submitted.	Yes ☐	No ☐

Whether the goods have been purchased from	Local Mandi ☐	Any other Mandi ☐
Self-attested copy of Mandi license / APMC license submitted	Yes ☐	No ☐
Whether the Mandi Tax has been paid	Yes ☐	No ☐
If Yes, then self-attested copy of the Challan showing payments of the Mandi Tax/Gate Pass/similar document attached	Yes ☐	No ☐
If Goods have been purchased from any other Mandi, whether the Mandi Tax has been paid by the seller and the proof thereof attached	Yes ☐	No ☐
Copy of Anugya-patra or similar applicable document in the respective State and the Mandi for which the same is applicable and undertaking that the warehouses where deposits are being made is in jurisdiction of the same attached.	Yes ☐	No ☐
Farmer – land records such as 7/12 extract or other state Specific land related documents establishing identity as Farmer.	Yes ☐	No ☐

Place: ……

Date: (Signature of the Stock Owner / Depositor)

DECLARATION BY STOCK OWNER/DEPOSITOR

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the details as filled in this document.

3. I/ We understand that the goods deposited are meeting with all statutory requirements besides the Exchange quality specifications. I/ we confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force. In the event of any restraint or seizure or such other restrictive orders affecting the goods held by us and being offered for delivery on the Exchange platform, I/We agree that I/We shall be liable as the holder of goods and shall ensure that the transferee of the goods gets the delivery of the goods offered.

4. I/ We shall abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in force from time to time and shall indemnify the warehouse/WSP (hereinafter referred as "WSP or Warehouse") and the Exchange against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.

5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, I/We shall be solely and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.

6. I/We hereby declare that, there are no statutory dues or returns or taxes/levies pending to be met in respect of the said goods and the said goods are validly owned /held by me/us.

7. The stocks of deposits as mentioned in this Depositor Transaction Declaration (DTD) along with this Declaration are deposited by me /us for self /ourselves and I/We am/are the sole beneficiary of the said stocks.

8. I/We hereby further declare that the goods as mentioned in DTD is valid goods for delivery on the Exchange Platform and I /We am/are the lawful owner/s of the goods.

9. I/We further declare that the goods are free from encumbrances and is not under any pledge, hypothecation or any charge of whatsoever nature with any Banks/Financial Institutions/ NBFCs or any other registered or unregistered lending agencies/entities.

10. That the necessary Mandi fee has been paid in respect of the goods hereby deposited by me/us. The goods therefore are clear and marketable in my/own name. I/We further state and undertake that I/We shall keep the Exchange and its officials indemnified at all times for any miss declaration with respect to the ownership, encumbrance and other acts by me/us at all times and understand that this indemnification shall survive the transaction executed on the Exchange Platform and shall be valid at all times

11. I / We declare and agree that in no event shall MCX or the said Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our non-compliance of any central/state laws as applicable to the said goods.

12. As a depositor, I / We hereby confirm that commodities / goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and / or consents, approvals that are required in connection with storage of these materials / commodities has been obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non- compliance of applicable laws and regulations governing storage and collateral management services rendered by warehouse, I / we shall indemnify warehouse/Exchange for such losses / damages / penalties etc.

13. I / We shall ensure that the quality of commodities shall comply with the regulations laid down by the other authorities like Food Safety Standards Authority of India, AGMARK & BIS etc.

14. I / We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.

15. I/ We confirm that the commodities being deposited are not FED (Final expiry date) commodities from any other warehouses of the MCX or other Exchanges.

16. I/ We confirm that the total stocks kept by us shall not exceed the state government/ central government stock limit in the state.

17. I/We do hereby agree to be bound by such provisions as outlined in these documents.

18. I/We further declare and undertake that we shall at all times be liable for any action as may be applicable for any violations of the Exchange Rules, Bye-laws and Regulations, Circulars, Guidelines and the directives of the Exchange or the Board as the case may be as issued from time to time.

Place: ……..
Date: (Signature of the Stock Owner / Depositor)

FOR OFFICE USE ONLY

WSP Allotted Code (WAC): _____

Name of the Depositor: _____

Commodity Acceptance/Inward Document (CAD/CID) no. From: _____to _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / Warehouse Official	
Employee Code	
Designation of the employee	

_____ Signature of
the Authorized Signatory

Place: _____
Date _____ Seal/Stamp of the WSP

ACKNOWLEDGEMENT

WSP Allotted Code (WAC): _____

Name of the Depositor: _____

Commodity Acceptance /Inward Document (CAD/CID) no. From _____ to _____

Documents Submitted verified: Yes / No

	Documents Verified
Name of the Employee / Warehouse Official	
Employee Code	

_____
Signature of the Authorized Signatory

Place: _____
Date _____ Seal/Stamp of the WSP

Form III

LETTER OF AUTHORITY TO DEPOSIT COMMODITIES

To,
……..
……..
……..

Dear Sir

I/We, _____[NAME OF THE STOCK OWNER/BENIFICARY], do hereby irrevocably authorize _____ [NAME OF THE DEPOSITOR] whose signature has been appended and duly verified by me/us to deposit_____ [NAME OF THE COMMODITY AND QUANTITY] with_____ [NAME OF THE WAREHOUSE AND ITS WSP], on my/ our behalf.
_____ [NAME OF THE DEPOSITOR] is also authorized to sign, acknowledge and accept all the related forms/documents on my/our behalf.

Signature of the Depositor Seal & Signature of Stock Owner/Beneficiary

(Along with Self-Attested identity proof)

Annexure 7

Name of Warehouses	1	2	3
	Yamada Logistics Pvt Ltd (Kapoor Baboo Gupta Warehouse)	Sohan Lal Commodity Management Pvt. Ltd., (SLCM CH- 04)	Sohan Lal Commodity Management Pvt. Ltd., (SLCM CH- 07)
Warehouse Address	Khasra No. 1274, Village Badagaon, Tehsil Nawabganj, Opp. Masauli Police Station, District Barabanki (U.P.), PIN- 225204.	2, Ajanta Takeez Road, Kaithal Gate, Chandausi, U.P - 202412	Ajanta Takeez Road, Kaithal Gate, Chandausi, Dist. Moradabad, U.P. - 202412.
Contact Person / Contact No.	Mr. Bhagwati Sharan Jha Mr. Prashant Phadnis	Mr. Vedpal Hooda, Mr. Mr. Prahlad Rai Sharma	Mr. Vedpal Hooda, Mr. Mr. Prahlad Rai Sharma
	0-9971559128 0-9130064945	0-9643201051 0-9359949122	0-9643201051 0-9359949122
E-mail	yamada@yamadalogistics.com	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 0.05 per Kg	Rs. 0.05 per Kg	Rs. 0.05 per Kg
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Fumigation Charges	Not Applicable	Not Applicable	Not Applicable
Quality Certifying (QC)/Assayer Agency	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center
Quality Certificate Charges	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums
Validity	18 months with initial certification for 12 months	18 months with initial certification for 12 months	18 months with initial certification for 12 months
Standard Deduction	50 gram per barrel per month	50 gram per barrel per month	50 gram per barrel per month

*1 day Billing Cycle

Note:

For fresh deposits and revalidation, sampling request shall be entertained in multiple of 2 drums only.

The cost of empty drum is Rs.1600.00/- per drum (exclusive of VAT charges, as applicable).

Annexure 7

Name of Warehouses	4	5	6
	Sohan Lal Commodity Management Pvt Ltd (SLCM BBK - 01)	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 02)	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 03)
Warehouse Address	Khasra No 301-389, Situated in Safedabad, Barabanki, Adjoining National Highway No 28, Lucknow Faizabad Road, Barabanki, U.P - 225001	Khasra No 301-389, Situated in Safedabad, Barabanki, Adjoining National Highway No 28, Lucknow Faizabad Road, Barabanki, U.P - 225001	Khasra No 301-389, Situated in Safedabad, Barabanki, Adjoining National Highway No 28, Lucknow Faizabad Road, Barabanki, U.P - 225001
Contact Person / Contact No.	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand
	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955
E-mail	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 0.05 per Kg	Rs. 0.05 per Kg	Rs. 0.05 per Kg
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Fumigation Charges	Not Applicable	Not Applicable	Not Applicable
Quality Certifying (QC)/Assayer Agency	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center
Quality Certificate Charges	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums
Validity	18 months with initial certification for 12 months	18 months with initial certification for 12 months	18 months with initial certification for 12 months
Standard Deduction	50 gram per barrel per month	50 gram per barrel per month	50 gram per barrel per month

*1 day Billing Cycle
Note:
For fresh deposits and revalidation, sampling request shall be entertained in multiple of 2 drums only.
The cost of empty drum is Rs.1600.00/- per drum (exclusive of VAT charges, as applicable).

Annexure 7

Name of Warehouses	7	8	9
	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 05)	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 07)	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 08)
Warehouse Address	Shed No. 5, Khasra No. 301, Safedabad, Faizabad Road, Barabanki, UP 225001.	Gata No-1475, Village Khajoor Gaon, Chinhat Dewa Road, Tehsil Nawab Ganj, Distt Barabanki, U.P-225301	Khasra No.- 301-389, Safedabad, Adjoining to National Highway number 28, Faizabad road - Barabanki-225001, Lucknow, U.P
Contact Person / Contact No.	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand
	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955
E-mail	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 0.05 per Kg	Rs. 0.05 per Kg	Rs. 0.05 per Kg
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Fumigation Charges	Not Applicable	Not Applicable	Not Applicable
Quality Certifying (QC)/Assayer Agency	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center
Quality Certificate Charges	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums
Validity	18 months with initial certification for 12 months	18 months with initial certification for 12 months	18 months with initial certification for 12 months
Standard Deduction	50 gram per barrel per month	50 gram per barrel per month	50 gram per barrel per month

*1 day Billing Cycle

Note:

For fresh deposits and revalidation, sampling request shall be entertained in multiple of 2 drums only.

The cost of empty drum is Rs.1600.00/- per drum (exclusive of VAT charges).

Annexure 7

Name of Warehouses	10	11	12
	Sohan Lal Commodity Management Pvt Ltd (SLCM BBK - 09)	Sohan Lal Commodity Management Pvt Ltd (SLCM BBK - 10)	Sohan Lal Commodity Management Pvt Ltd, (SLCM BBK - 11)
Warehouse Address	Khasra No.- 301-389, Safedabad, Adjoining to National Highway number 28,Lucknow-Faizabad Road, Barabanki- 225001, U.P	Gata No.- 1490, Village Khajurgaon, Chinhat Dewa Road, Tehsil Nawab Ganj, Dist.- Barabanki, Uttar Pradesh-225301, Barabanki	Gata No.- 1490, Village Khajurgaon, Chinhat Dewa Road, Tehsil Nawab Ganj, Dist.- Barabanki, Uttar Pradesh-225301, Barabanki
Contact Person / Contact No.	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand	Mr. Vedpal Hooda, Mr. Arjun Chand
	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955	0-9643201051, 0-7379786944, 0-7379786955
E-mail	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com	vedpal.hooda@slc-india.com; operation@slc-india.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 0.05 per Kg	Rs. 0.05 per Kg	Rs. 0.05 per Kg
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Fumigation Charges	Not Applicable	Not Applicable	Not Applicable
Quality Certifying(QC)/Assayer Agency	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center	Fragrance & Flavor Development Center
Quality Certificate Charges	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums	Rs. 4383.00/- (Taxes extra, as applicable from time to time) per sample lot up to 12 drums
Validity	18 months with initial certification for 12 months	18 months with initial certification for 12 months	18 months with initial certification for 12 months
Standard Deduction	50 gram per barrel per month	50 gram per barrel per month	50 gram per barrel per month

*1 day Billing Cycle

Note:

For fresh deposits and revalidation, sampling request shall be entertained in multiple of 2 drums only.

The cost of empty drum is Rs.1600.00/- per drum (exclusive of VAT charges).

Annexure 8

	1	2	3
Name of Warehouses	Yamada Logistics Pvt Ltd (Devson Ceramics Pvt Ltd)	Yamada Logistics Pvt Ltd (Devdeep Ceramics Pvt Ltd)	Yamada Logistics Pvt Ltd (M/s. Bhavnaben C. Khanpara)
Warehouse Address	Near Jain Steel, Opp Pitrakripa Hotel, Sapar,Gondal Highway, Rajkot- 360021, Gujarat	Near Jain Steel, Opp Pitrakripa Hotel, Sapar,Gondal Highway, Rajkot- 360021, Gujarat	Shivkant Industrial Park, Survey No 47P1P1P1, Plot no-16/17/18/19(E), Gundala Anida Road, At Anida, Tahsil- Gondal. Dist. - Rajkot, PIN-360311.
Delivery Centre	Rajkot	Rajkot	Rajkot
Contact Person / Contact No.	Mr. Pranav Jhawar/ Mr. Hemant Singh	Mr. Pranav Jhawar/ Mr. Hemant Singh	Mr. Shakti Singh Mr. Prashant Phadnis
	9329344622 7878251602	9329344622 7878251602	0-7043082843 0-9130064945
E-mail	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 1.25 per bale per day	Rs. 1.25 per bale per day	Rs. 1.50 per bale per day
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Quality Certifying (QC)/Assayer Agency	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd.

QC Facilitation Charges *	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)
* Taxes Extra as applicable (if any)			

Annexure 8

Name of Warehouses	4	5	6
	Yamada Logistics Pvt Ltd (Mayur Warehouse)	Yamada Logistics Pvt Ltd (KhimJi Vishram Logistics Private Limited)	Yamada Logistics Pvt Ltd (M/S Vaidehi Industries)
Warehouse Address	Plot No. 15, SR. No. 109/1, R.K. Industrial Zone-1, Near Vivekananda Institute of Hotel Management, Rajkot Ahmedabad National Highway, Village- Kuchhyadad, Tehsil and District- Rajkot, Gujarat. PIN- 360001.	Mouje Rangpurda Taluka - Kadi, Dist.- Mehsana, Gujarat PIN CODE - 382715	Plot No. 21 & 22, APMC Warehousing Area, Jalna - 431203, Maharashtra
Delivery Centre	Rajkot	Kadi	Jalna
Contact Person / Contact No.	Mr. Shakti Singh Mr. Prashant Phadnis	Mr. Pranav Jhawar Mr. Vikas Kumar	Mr. Pranav Jhawar Mr. Sanjeev Kumar
	0-7043082843 0-9130064945	0-9329344622 0-8306303630	0-9329344622 0-9604957140
E-mail	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 1.50 per bale per day	Rs. 1.35 per bale per day	Rs. 1.35 per bale per day
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Quality Certifying (QC)/Assayer Agency	Wakefield Inspection Services (India) Pvt. Ltd.	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd
QC Facilitation Charges *	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)
* Taxes Extra as applicable (if any)			

Annexure 8

Name of Warehouses	7	8	9
	Yamada Logistics Pvt Ltd (Mor Warehouse)	Yamada Logistics Pvt Ltd (Mor Warehouse)	Yamada Logistics Pvt Ltd (Mor Warehouse)
Warehouse Address	Godown No.: N-5A, Near MIDC, Darwha Road, Lohara, Yavatmal - PIN – 445001, Maharashtra.	Godown No.: N-4, Near MIDC, Darwha Road, Lohara, Yavatmal - PIN – 445001, Maharashtra.	Godown No.: N-1, Near MIDC, Darwha Road, Lohara, Yavatmal - PIN – 445001, Maharashtra.
Delivery Centre	Yavatmal	Yavatmal	Yavatmal
Contact Person / Contact No.	Mr. Pranav Jhawar Mr. Devendra Malviya	Mr. Pranav Jhawar Mr. Devendra Malviya	Mr. Pranav Jhawar Mr. Devendra Malviya
	0-9329344622 0-9039423567	0-9329344622 0-9039423567	0-9329344622 0-9039423567
E-mail	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 1.35 per bale per day	Rs. 1.35 per bale per day	Rs. 1.35 per bale per day
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Quality Certifying (QC)/Assayer Agency	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd
QC Facilitation Charges *	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)
* Taxes Extra as applicable (if any)			

Annexure 8

Name of Warehouses	10	11	12
	Yamada Logistics Pvt Ltd (Mor Warehouse)	Yamada Logistics Pvt Ltd (B.G.K. Infrastructure Developers Pvt Ltd)	Yamada Logistics Pvt Ltd (Kala Prashant Agarwal Warehouse)
Warehouse Address	N-3 Godown, Near MIDC, Darwha Road, Lohara, Yavatmal, PIN– 445001, Maharashtra,	Shed No. 3, Plot no. B-14, New MIDC, Yavatmal, PIN- 445002, Maharashtra	A/P Plot No. P-70, MIDC Area, Jalgaon PIN 425001, Maharashtra
Delivery Centre	Yavatmal	Yavatmal,	Jalgaon
Contact Person / Contact No.	Mr. Pranav Jhawar Mr. Devendra Malviya	Mr. Devendra Malviya Mr. Prashant Phadnis	Mr. Pranav Jhawar Mr. Sanjeev Kumar
	0-9329344622 0-9039423567	0-9039423567 0-9130064945	0-9329344622 0- 9604957140
E-mail	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com	operations.yamadalogistics@gmail.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 1.35 per bale per day	Rs. 1.50 per bale per day	Rs. 1.35 per bale per day
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Quality Certifying (QC)/Assayer Agency	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd.	Wakefield Inspection Services (India) Pvt. Ltd
QC Facilitation Charges *	Rs. 2500/- (lot size of 100 bales)	Rs. 3000/- (lot size of 100 bales)	Rs. 2500/- (lot size of 100 bales)
* Taxes Extra as applicable (if any)			

Annexure 8

	13	14	15
Name of Warehouses	Origo Commodities India Pvt. Ltd. (Kuber Logistic - Godown No 17)	Origo Commodities India Pvt. Ltd. (Manmohan Ginning Industries, Godown- 1)	Origo Commodities India Pvt. Ltd. (Kuber Logistic Godown No- 10)
Warehouse Address	S. No 393 P 1 Village Pithadiya Taluko Jetpur Dist. Rajkot-360370	Rajkot – Jamnagar Highway Road, Village-Targhadi, Taluka-Paddhari, Dist.- Rajkot - 360110, Gujarat, India	S No 393, P1 Village-Pithadiya, Taluko-Jetpur, Dist.- Rajkot, Gujarat- 360370
Delivery Centre	Rajkot	Rajkot	Rajkot
Contact Person / Contact No.	Mr. Rupesh Kumar Mr. Prashant Ghotkar	Mr. Rupesh Kumar Mr. Prashant Ghotkar	Mr. Rupesh Kumar Mr. Prashant Ghotkar
	0-9460993614 0-8888823289	0-9460993614 0-8888823289	0-9460993614 0-8888823289
E-mail	exchange@origoindia.com rupesh.kumar@origoindia.com prashant.ghotkar@origoindia.com	exchange@origoindia.com rupesh.kumar@origoindia.com prashant.ghotkar@origoindia.com	exchange@origoindia.com rupesh.kumar@origoindia.com prashant.ghotkar@origoindia.com
Storage Charges* Taxes Extra (As Applicable)	Rs. 1.50 per bale per day	Rs. 1.50 per bale per day	Rs. 1.50 per bale per day
Insurance Charges	Included in Storage Charges	Included in Storage Charges	Included in Storage Charges
Quality Certifying(QC)/ Assayer Agency	Wakefield Inspection Services (India) Pvt. Ltd	Wakefield Inspection Services (India) Pvt. Ltd.	Wakefield Inspection Services (India) Pvt. Ltd.
QC Facilitation Charges *	Rs. 3000/- (lot size of 100 bales)	Rs. 3000/- (lot size of 100 bales)	Rs. 3000/- (lot size of 100 bales)
* Taxes Extra as applicable (if any)			

Annexure 9

Name of Warehouse**	Yamada Logistics Pvt. Ltd. (KSWC)
Warehouse Address	Kerala State Warehousing Corp Ltd, Vandanmedu, Dist.: Idduki, Kerala. PIN 685551
Delivery Centre	Vandanmedu
Contact Person / Contact No.	Mr. Pranav Jhawar Mr. Ramesh Kumar
	0-9329344622 0-9567262936
E-Mail	operations.yamadalogistics@gmail.com
Storage Charges*	Rs.4.25 Per Bag per day (up to 50 Kg Bag)
Insurance Charges	Included in Storage Charges
Standard deduction	Not Applicable
*1 day billing Cycle (Exclusive of Tax if any) **Kerala State Warehousing Corporation Ltd (KSWC) will issue warehouse receipt as per MCX specifications.	

Annexure 10

Name of Vault	M/S Lemuir Secure Logistics Pvt Ltd				
Vault Address	Sanjiv Park Co-operative Housing Society Ltd., Meghdoot, Plot 137/2/B, Behind Fadia Chambers, Ashram Road, Ahmedabad 380009.				
Contact Person	Mr. Ignatius Fernandes, Mr. Kiran Shah				
Contact Details	079-26583112, 65128743 Cell : 09909024666, 09099044700				
Fax No.	079-26583113				
E-Mail ID	Ignatius.fernandes@amd.lemuir.com; lsl.amd@amd.lemuir.com				
Vaulting Charges*	Gold	Rs. 35.00 per day per Bar of 1 Kg			
	Gold Mini	Rs. 03.50 per day per Bar of 100 gms			
	Gold Guinea	Rs. 00.10 per day per Coin of 8 gms			
		Duration of Storage (No. of days)**	Delivery Unit	Vaulting Charges (per bar / per day)	Loading & Unloading Charges (per Bar)*
	Silver	Up to 90 Days	30 KG	Rs. 35.00	Rs. 15.00
	Silver	91 Days & above	30 KG	Rs. 45.00	Rs. 15.00

* Taxes extra as applicable from time to time.
** From the date of ownership.

Note:

Members may note that above Vault Charges shall be levied for the actual number of days the goods are stored and Loading & Unloading Charges shall be levied for depositing & lifting of the delivery. However, the Buyers shall be exempted from payment of Vault Charges, provided they lift the goods on the pay-out day.

Annexure 10

Name of Vault	M/S Lemuir Secure Logistics Pvt Ltd	
Vault Address	10, Community Centre, Nangal Raya, New Delhi.	
Contact Person	Mr. Ahmar Khan; Mr. Nelson Murzello	
Contact Details	09643100064; 9930001900	
Fax No.	011- 43596701	
E-Mail ID	lsl.del@del.lemuir.com	
Vaulting Charges*	Gold	Rs. 35.00 per day per Bar of 1 Kg
	Gold Mini	Rs. 03.50 per day per Bar of 100 gms
	Gold Guinea	Rs. 00.10 per day per Coin of 8 gms
	Silver 1000	Exempted from vaulting/storage charges till further notice from the Exchange.

* Taxes extra as applicable from time to time.

Note:

Members may note that above Vault Charges shall be levied for the actual number of days the goods are stored. However, the Buyers shall be exempted from payment of Vault Charges, provided they lift the goods on the pay-out day.

Annexure 10

Name of Vault	M/S LEMUIR SECURE LOGISTICS PVT LTD	
Vault Address	Ground Floor, Laxmi Prasad Building, Dayaldas Road, Vile Parle (East), Mumbai 400057.	
Contact Person	Mr. Nelson Murzello	
Contact Details	022-61126363, 61126304. Cell : 9930001900	
Fax No.	022-26126702	
E-Mail ID	nelson.murzello@bom.lemuir.com	
Vaulting Charges*	Gold	Rs. 35.00 per day per Bar of 1 Kg
	Gold Mini	Rs. 3.50 per day per Bar of 100 gram
	Gold Guinea	Rs. 00.10 per day per Coin of 8 gm

* Additionally, transaction charge of Rs. 300/- per deposit or withdrawal (inclusive of Service Tax) shall be payable directly to the vaulting agency.

* For vaulting charges, taxes extra as applicable from time to time.

Annexure 11

Serial No……..
Booking Ref no……..…….

SPACE BOOKING FORM	
The following duly filled in form should be submitted on Email Id: mcxwhl@mcxindia.com with subject line as "SPACE BOOKING"	
COMMODITY INFORMATION	
Name of Commodity For Storage	
Location for Storage	
Name of Warehouse	
Quantity in KG/MT (Approximate)	
No. of Lots	
No. of Bags/ Bales/Drums	
Likely Date of Deposit (DD/MM/YYYYY)	
CUSTOMER INFORMATION	
Name of Person/ Firm/ Company	
Address 1:	
Address 2:	
District	
State	
Postal code	
Tel No. with STD Code	
Mobile No.	
Fax No.	
E-Mail	
PAN Number	
VAT / TIN No.	
Name of Firm/Company Representative nominated for communication purpose	
Mobile No. of representative	

Name & Signature of the applicant: _____ Date (DD/MM/YYYY):_____

Disclaimer:

- ➔ Space booking request shall be accepted only for Exchange notified commodities and for Exchange designated delivery centres.
- ➔ Submission of Space Booking Form does not indicate acceptance of application and the same is subject to further processing of request.
- ➔ MCX reserves the right of acceptance or rejection of the Space Booking Form without assigning any reason for the same.
- ➔ The request for Space Booking shall be subject to availability of warehouse(s) and warehouse space.
- ➔ Request should be submitted with minimum three working days in advance from the likely date of deposit.

Annexure 12

Format for authorizing the person(s) for taking the delivery from the Vault
(On the letterhead of the Member)

Date:

To,
Warehousing & Logistics Dept.
Multi Commodity Exchange of India Ltd
"Exchange Square", Suren Road,
Chakala, Andheri (E), Mumbai – 400093.

Member ID: _____

Dear Sir / Madam,

We hereby authorize Shri _____ to take delivery of _____Kg/MT of _____(Name of the commodity) from _____(Name of the vault) for which we have already made the required payment to the Exchange against our purchase position of _____(Name of the contract).The signature & photograph of Mr./Mrs./Ms._____is given below

Name of the Authorized Representative	Signature	Proof of Identity No. of authorized person.(copy enclosed)	Photograph
		-PAN No. -Driving License No. -Election ID -Aadhar No.	Photograph should be affixed here Or copy of ID with Photo duly attested by

We confirm that deliveries to any of the above mentioned person(s) is complete and effective discharge on the part of the Exchange towards our company.

We are also giving our rubber stamp to the above mentioned authorized person to take delivery from the said vault.

Thanking you,

Yours faithfully,
For _____

(Signature & Stamp of the Member)
Encl: As above